



Algorithms

Backtracking
(part 2)



Recap:

- HW1 - due tonight
- HW0 - graded! *please check*
- Reading - due Sunday
- HW2 - post today

→ Warning:  sections - usually harder!

If I really need you to understand, I'll spend class time.

- Check in: all working?
(please email)

Backtracking: the pattern

Need to make a sequence of decisions:

- Turns in a game \rightarrow need to choose space
- Placing a queen \rightarrow n decisions
- Is next element in the set? \rightarrow 2 decisions

So: recursion! (reinforces recursion)

Need a decision

\rightarrow recurse on all possible answers

Requires: some "state" info, \leftarrow large
so we can build up the solution (or game).

Downside: SLOW

Example: Subset Sum

Given a set X of positive integers and a target value t , is there a subset of X which sums to t ?

Ex: $X = \{\underline{8}, 6, \underline{7}, \underline{3}, 10, \underline{5}, 9\}$

$$t = 15$$

Yes! $\left. \begin{array}{l} 8 + 7 \\ \underline{7 + 3 + 5} \end{array} \right\}$

(many in this example)
more?

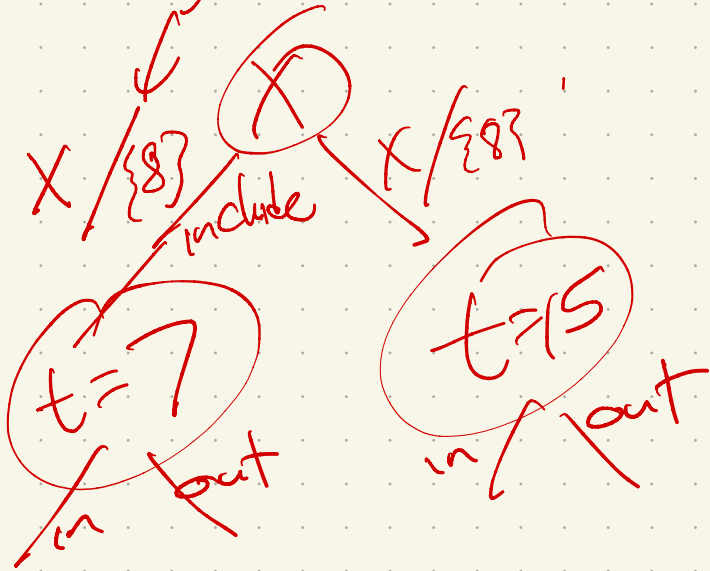
How would we solve?

recursively ~

Consider one at a time:

$X = \{ \cancel{8}, 6, 7, \underline{5}, 3, 1, 9 \}, 15$

Consider 8: either in,
or out



Formalize this: recursion!

try: $(X / X[i], t - X[i])$
 $(X / X[i], t)$

base case?

If $t = 0$, true

$t < 0$, fail

$X = \{0\}, t > 0$, fail

Algorithm:

reset to use
arrays.

fixing
input

«Does any subset of X sum to T ?»

SUBSETSUM(X, T):

if $T = 0$

return TRUE

else if $T < 0$ or $X = \emptyset$

return FALSE

else

$x \leftarrow$ any element of X

with \leftarrow SUBSETSUM($X \setminus \{x\}, T - x$)

«Recurse!»

wout \leftarrow SUBSETSUM($X \setminus \{x\}, T$)

«Recurse!»

return (with \vee wout)

«Does any subset of $X[1..i]$ sum to T ?»

SUBSETSUM(X, i, T):

if $T = 0$

return TRUE

else if $T < 0$ or $i = 0$

return FALSE

else

with \leftarrow SUBSETSUM($X, i - 1, T - X[i]$)

«Recurse!»

wout \leftarrow SUBSETSUM($X, i - 1, T$)

«Recurse!»

return (with \vee wout)

same
run off end of array

if work
I'm

OK

remove $X[i]$, so
set now $X[1..i-1]$

Correctness: inductive proof,
on size of X, i

makes
 X
smaller

$X[1..n]$ $X[1..n-1]$ $X[1..n-2]$

Base cases:

$i = |X| = 0$ (so $X = \{\}$):

if $t = 0$, true
(alg does this)

if $t > 0$ or $t < 0$, alg gives
false

Ind Hyp: ^{algorithm} works for $X[1..n-1]$
or smaller arrays.

Ind step: Full array $X[1..n]$

Consider $X[n]$:

are only 2 possibilities:
 $X[n]$ in set,
or not in

Try both \rightarrow by IH,
ind. try gives me
correct answer for
each one

Then if one works, I
also returns true.



Text Segmentation

Fix a "language", so can recognize "words".

Ex: - English text : Dictionary

- palindromes : code a fun that gives true for pal.

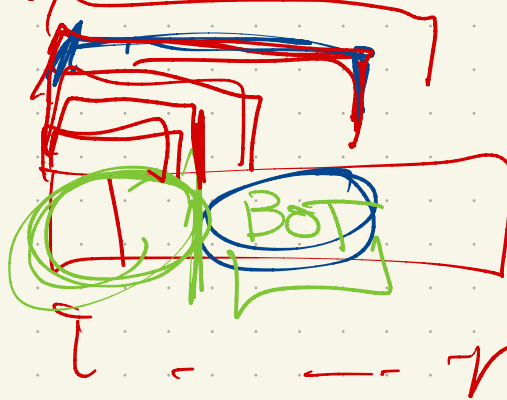
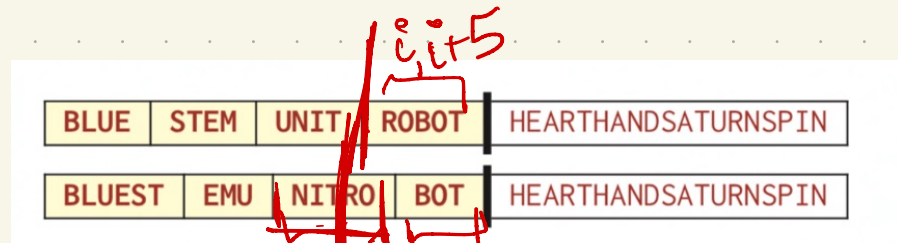
- genetic data :

code fun that is true for particular genetic sequences

⇒ Subroutine IsWord(s)
will be given

Q: What happens to a smaller word that overlaps or is later?

Ex:



↑ make $n-i+1$
recursive
attempts

Code:

SPLITTABLE(A[1..n]):

if $n = 0$

return TRUE

for $i \leftarrow 1$ to n

if IsWord(A[1..i])

if SPLITTABLE(A[i+1..n])

return TRUE

return FALSE

Not A.
Subarray.



rec fairy say it works

Runtime:

I work

exp

$$S(n) \leq \cancel{(n-1)} S(n-1) \\ = \sum_{i=1}^{n-1} S(n-i)$$

Issue w/ passing arrays:

If I pass A back (by ref, by global), then I need to indicate size is shrinking

His solution: (language independent!)

use an index

Passing by index / ptr / global / etc.

Given an index i , find a segmentation of the suffix $A[i..n]$.

easy!!

Formalize an (ugly?) recursion:

$$\text{Splittable}(i) = \begin{cases} \text{TRUE} & \text{if } i > n \\ \bigvee_{j=i}^n (\text{IsWord}(i, j) \wedge \text{Splittable}(j+1)) & \text{otherwise} \end{cases}$$

painful

then code it:

⟨⟨Is the suffix $A[i..n]$ Splittable?⟩⟩

SPLITTABLE(i):

if $i > n$

return TRUE

for $j \leftarrow i$ to n

if IsWord(i, j)

if SPLITTABLE($j+1$)

return TRUE

return FALSE

??

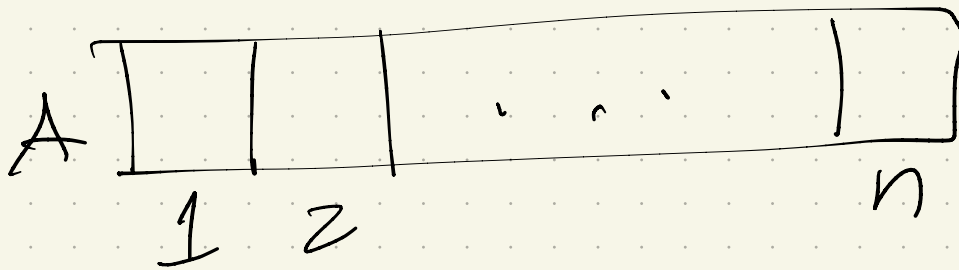
Note: this is harder than it looks!!

Longest Increasing Subsequence

Why "jump to the middle"?

Need a recursion!

First: how many subsequences?



Backtracking approach:

At index i :

Result:

Given two indices i and j , where $i < j$, find the longest increasing subsequence of $A[j..n]$ in which every element is larger than $A[i]$.

Recursion:

$$LISbigger(i, j) = \begin{cases} 0 & \text{if } j > n \\ LISbigger(i, j + 1) & \text{if } A[i] \geq A[j] \\ \max \left\{ \begin{array}{l} LISbigger(i, j + 1) \\ 1 + LISbigger(j, j + 1) \end{array} \right\} & \text{otherwise} \end{cases}$$

Code version:

LISBIGGER(i, j):

if $j > n$

return 0

else if $A[i] \geq A[j]$

return LISBIGGER($i, j + 1$)

else

$skip \leftarrow$ LISBIGGER($i, j + 1$)

$take \leftarrow$ LISBIGGER($j, j + 1$) + 1

return $\max\{skip, take\}$

Problem — what did we want??

So:

LIS($A[1..n]$):

$A[0] \leftarrow -\infty$

return LISBIGGER(0, 1)