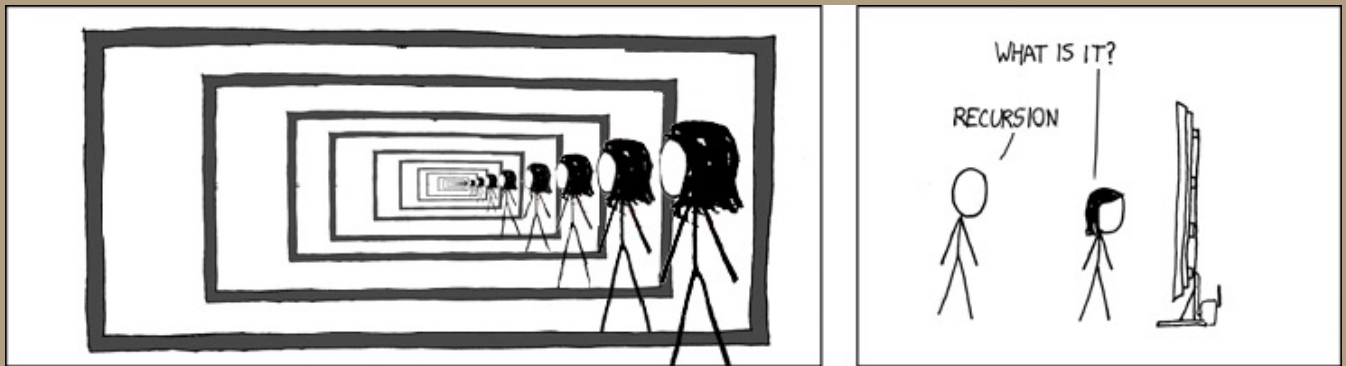


Algorithms



Recursion
(part 2)

Recap:

- HW0 due tonight - in a group!
- Reading due tomorrow
(5 comments now!)
- Next HW (over recursion)
is posted, as well as
next ~2 weeks of reading

↳ due next Wed.

3 questions
HW1 ✓ Groups up

- Questions?
- Set office hours
by Friday

Today: Recurrences!

(They really do have a purpose!)

Most "easy" asymptotic analysis in prior classes was probably

→ loops. $\left[\begin{array}{l} \text{for } i \leftarrow 1 \text{ to } n \\ \text{code: \#ops} \end{array} \right] \Rightarrow \sum_{i=1}^n (\#ops)$

Problem: Recursion frames things another way!

Ex

let $M(n)$ = runtime on array of length n

```
MERGESORT(A[1..n]):  
  if n > 1  
    m ← ⌊n/2⌋  
    MERGESORT(A[1..m])    «Recurse!»  
    MERGESORT(A[m+1..n]) «Recurse!»  
    MERGE(A[1..n], m)
```

```
MERGE(A[1..n], m):  
  i ← 1; j ← m+1  
  for k ← 1 to n  
    if j > n  
      B[k] ← A[i]; i ← i+1  
    else if i > m  
      B[k] ← A[j]; j ← j+1  
    else if A[i] < A[j]  
      B[k] ← A[i]; i ← i+1  
    else  
      B[k] ← A[j]; j ← j+1  
  for k ← 1 to n  
    A[k] ← B[k]
```

Figure 1.6. Mergesort

$$M(0) = M(1) = O(1)$$

$$M(n) = O(1) + O(n) + M(m) + M(n-m)$$

$$M(0) = M(1) = O(1)$$

$$M(n) = O(1) + O(n) + M(m) + M(n-m)$$

where $m = \lfloor \frac{n}{2} \rfloor$

⇒ Mergesort runtime is solution to

$$M(n) = M(\lfloor \frac{n}{2} \rfloor) + M(\lceil \frac{n}{2} \rceil)$$

$$+ \underline{O(n)}$$

where $M(0) + M(1) = O(1)$

$$\Rightarrow M(n) \approx 2M(\frac{n}{2}) + n$$

(in big-O)

Quicksort:

$$T\left(\frac{n}{2}\right)$$

$$T\left(\frac{n}{2}\right)$$

$$T(n) = \max_{1 \leq r \leq n}$$

$$T(0) = T(1) = 1$$

$$\left(T(r-1) + T(n-r) + O(n) \right)$$

$$T(0)$$

$$T(n-1)$$

Solving:

worst case!



Balanced: would have

$$O(n \log n) \left\{ \begin{array}{l} T(n) = 2T\left(\frac{n}{2}\right) + O(n) \\ \text{(same as merge sort! but best case)} \end{array} \right.$$

$$\text{Worst: } T(n) = T(0) + T(n-1) + n$$

$$\sum_{i=1}^n i = O(n^2) \leftarrow = n+1 + (n-1) + T(n-2) + \dots$$

Quicksort practicalities

Note: "Median of three"

- Somewhat better can still be good!

Remember, while $O(n^2)$ worst case, this is the best sorting algorithm in practice.

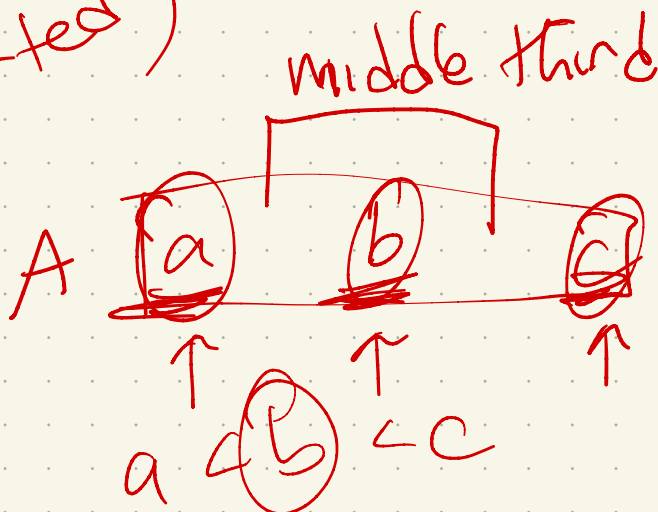
Issues to consider: (at least outside of 3100)

- chances of a good pivot are high

- easier to implement

- space: qs can be done in place

(language, size of type of data (how sorted))



Compare a, b, c
Use the middle as pivot

Recursion Trees: $T(k) = 3T(\frac{k}{2}) + \underline{k^2}$

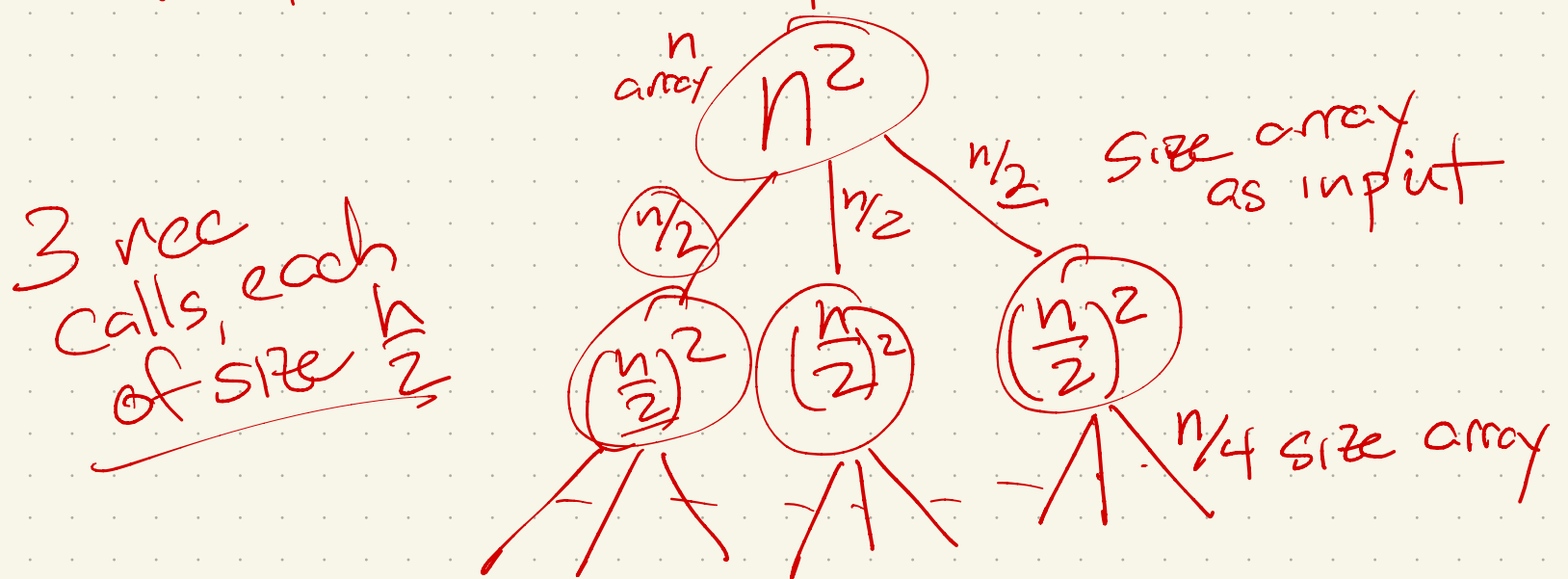
Let's start with an example.

$$T(n) = \underline{3T(\frac{n}{2})} + \underline{n^2}$$

nested for loops

How can I "visualize" the time spent?

→ array of size n
Divide into 2: $\underbrace{0 \dots \frac{n}{2}}$, $\underbrace{\frac{n}{2} + 1 \dots n}$
+ make 3 recursive calls
think about "top level"



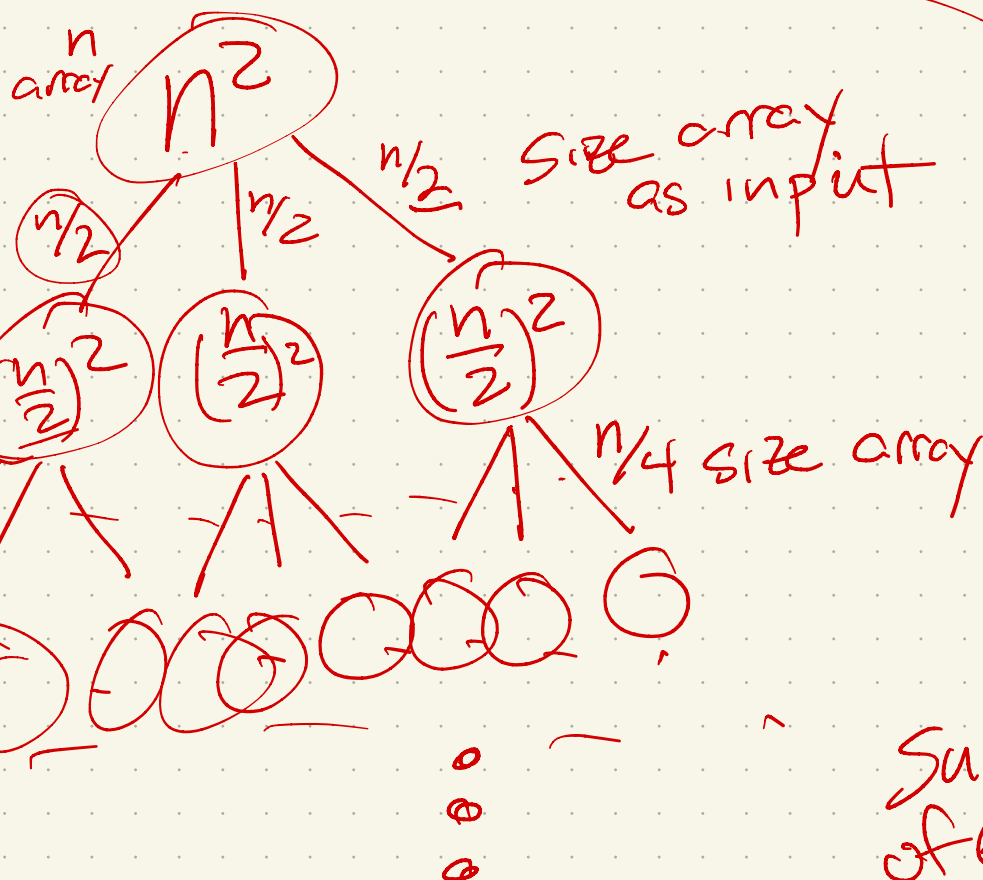
(Cont):

Solve $T(n)$, where
 $T(k) = 3T(\frac{k}{2}) + k^2$
 $T(0)$ or $T(1)$ base case

level 0:
1 node

level 1:
3 nodes

level 2:
9 nodes



Sum
of entire
tree

level i:
3ⁱ nodes

level d ??
(depth of Tree)
hit $T(1)$

$T(1)$

Sum of all work in tree

$$= \sum_{i=0}^d \left(\begin{array}{c} \# \text{ nodes on} \\ \text{level } i \end{array} \right) \left(\begin{array}{c} \text{amt of} \\ \text{work per} \\ \text{node} \end{array} \right)$$

$$= \sum_{i=0}^{\log n} \left(\underline{3^i} \right) \left(\underline{\frac{n}{2^i}} \right)^2$$

Solve for ~~know~~ d : $1 = \frac{n}{2^d}$

$$2^d = n$$

+ take log: $\log(2^d) = \log n$

$$d \log 2 = d = \log n$$

(+ use algebra + sums!)

$$\Rightarrow n^2 \sum_{i=0}^{\log n} \left(3^i \right) \left(\frac{1}{2^i} \right)^2 = n^2 \sum_{i=0}^{\log n} \left(\frac{3}{4} \right)^i$$

factor out non- i terms simplify

Note on reading!

If you don't follow the bit on ignoring floors & ceilings - don't stress!

I need you to know you can do this, but won't ask you to prove it.

Ex: discussion on domain transformation

$$T(n) = T(\lceil \frac{n}{2} \rceil) + T(\lfloor \frac{n}{2} \rfloor) + O(n)$$

Next reading: how to generalize?

$$T(n) = r T\left(\frac{n}{c}\right) + f(n)$$

What it means:

Algorithm (n):

→ // code

for $i \leftarrow 1$ to r

Algorithm $\left(\frac{n}{c}\right)$

→ // more code

total
 $f(n)$ ops

Solving: →